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Section I. GENERAL PRINCIPLES

I. AIM

“Grigore T. Popa” University of Medicine and Pharmacy of Iasi supports scientific research in its long-term strategy and in its medium and short-term programmes that refer to objectives, projects, results, resources, as well as concerns for putting to good use the results of the research activity.

Research activity is considered a key element for the national and international visibility of our university. The financial resources that come from research grants are used to conduct the studies funded by them. However, the funding mechanisms are unpredictable, and the continuation of the activities that involve various purchases may be affected, the direct consequence of which is the fragmentation of the initiated scientific research. In order to ensure the continuity of the scientific studies and to avoid research stagnation, the university found it appropriate to enable all the members of the teaching staff conducting such activities to develop their scientific portfolio and thus to contribute to the increase of the prestige of the university. Therefore, the establishment of a Necessity Grant was decided, which consists of having easy and feasible access to certain amounts of money, mainly aiming at optimizing the flow of ideas and innovative solutions of the members of our community.


Through this competition, UMF Iași aims at ensuring the continuity of the scientific research carried out by its teaching staff / researchers, with a view to obtaining competitive results at national and international level, materialized in scientific publications with high visibility, also aiming at attracting external research funding.

2. OBJECTIVES

- Ensuring the possibility of continuing some studies, experiments, techniques, tests, by facilitating purchases and promoting original research.
- Providing funding to projects submitted in national research competitions, with governmental or European funding, which have not received funding but rank among the first 25% of all submitted projects and meet the minimum required national competition score.
- Research capacity development, with positive effects on the competitiveness of the institution’s researchers at national level.
- Publication of the results obtained from the research carried out.

3. REFERENCE DOCUMENTS

SR EN ISO 9000:2015	<i>Quality Management Systems. Basic Principles and Definitions</i>
SR EN ISO 9000:2015	Quality Management Systems. Requirements
SR EN ISO 9004:2015	<i>Quality Management Systems. Guidelines for Performance Improvement</i>
Law no. 1/2011	National Education Law, with later amendments and additions
Law no. 319/2003	Research-Development Staff Regulations, with later amendments and additions
G.O. no. 57/2002	Scientific Cercetarea and Technological Development

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Law no. 206/2004	Good Conduct in Scientific Research, Technological Development and Innovation, with later amendments and additions
Law 64/1991	Patents, with later amendments and additions
Law no. 83/2014	Employee inventions, with later amendments and additions
Law 8/1996	Copyright and related rights, with later amendments and additions
Law no. 98/2016	Public purchase, with later amendments and additions
G.D. no. 134/2011	Methodological instructions regarding the setting the categories of research-development and innovation stimulation costs, for public funding
	Charter of “Gr.T. Popa” University of Medicine and Pharmacy of Iași
	European Charter of Researchers and Code of Conduct for Researcher Recruitment

4. DEFINITIONS AND ABBREVIATIONS

UMF – “Grigore T. Popa” University of Medicine and Pharmacy; DRU – Human Resources Department; HS – Senate’s Decision


Section II. REGULATION DESCRIPTION

Art. 1. Competition frequency

The funding applications may be submitted at all times after the Senate’s approval of this regulation. The competition launch will be announced on the university website.

Art. 2. Eligibility criteria

- ✓ This grant is designed for all the members of the teaching staff/researchers with full-time definite or indefinite employment contract and for all the university’s Ph.D. students who required necessity funding for either of these two cases:
 - continuation of an existing research project, which is not funded from other budget sources (for instance, UEFISCDI competition, in-house grants);
 - initiation of a research project ONLY IF it has entered other grant competitions, has not received funding, although it was eligible for funding, as it ranked among the first 25% projects;
- ✓ The activities of the draft project are not funded from other sources (declaration regarding the non-funding from other sources)
- ✓ The person applying for the grant must be directly involved in the conduct of the research for which funding is requested.
- ✓ The members of the teaching staff/researchers with full-time definite employment contracts with UMF Iași and the university’s Ph.D. students are eligible for a Necessity Grant only if they apply for it at least 18 months before the end of their definite employment contract/Ph.D. thesis completion.

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Art. 3. Budget

The maximum financial support granted for this type of application is the equivalent of 3,000 Euros¹. The total competition budget is 15,000 Euros for each calendar year. The grant expenditure structure, broken down by activities, destinations and categories, needs to comply with the provisions of the G.D. no. 134/2011.

Art. 4. Grant term and minimum performance criterion

The maximum necessity grant term is 12 months of contract execution.

The minimum performance criterion is the publication in an ISI / Clarivate Analytics-ranked journal during the grant term.

Art. 5. Allocation of funds

Only eligible applications that scored at least 80 points will be funded within the limits of the available budget.

If the submitted projects fail to achieve the minimum score, the grants will not be redistributed (to other types of grants) or allocated to those who failed to achieve the minimum required score.

Art. 6. Eligible costs

Logistics costs required for the continuation, completion and publication of the results of certain researches. They include: laboratory consumables, reagents, materials, devices, equipment, essential tests for studies/experiments, access fees to research infrastructures of third parties, dissemination, information /documentation, mobilities costs, research traineeships in Romania and abroad.

The applicant will prove the publication of the research results in Clarivate Analytics (ISI)-ranked journals within a maximum period of 18 months of the granting of the financing.

The members of the teaching staff/researchers with full-time definite employment contracts and Ph.D. students may apply for a Necessity Grant only to complete their research by the publication of their scientific paper in Clarivate Analytics (ISI)-ranked journals (experiment consumables and publication fees).

Salary costs and subcontracting of grant-related works are not considered eligible costs.

Art. 7. Fund allocation application submittal, assessment and selection procedure


7.1. Submitting an application requesting the amount of money and indicating the reason for the request, including all the information that the applicant considers essential for the allocation of funds.

7.2. Each application will be submitted by the applicant to the Scientific Committee of UMF Iași. The applicant will present the ongoing research activities for which financial support is requested and will explain the necessity and opportunity of the financial support granted through this mechanism.

Art. 8. Application assessment

8.1. *Eligibility check.* The applications are checked by the Scientific Committee members and the

¹ Calculated at a mean exchange rate of 4.7 lei/euro.

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Human Resources Department of UMF Iași, in order to determine whether all the eligibility criteria have been met.

- 8.2. *Assessment.* Eligible applications are assessed in terms of scientific quality of the ongoing activities for which funding is requested, as well as in terms of the necessity and appropriateness of the proposed purchases given the context, by the University’s Scientific Research Committee and validated by the Scientific Vice-Rector’s Office. The assessment process and the conduct of the competition are monitored by the Scientific Vice-Rector’s Office through the Scientific Committee. The Scientific Committee members who are applicants in the competition are suspended, by written notification sent to Scientific Vice-Rector’s Office, from any activity related to the assessment of the application, throughout the application submittal term.
- 8.3. *The applicant will have to prove the existence of the research for which he/she applies for this type of funding.*
- 8.4. *The applicant may apply only once every 5 years for this type of funding.*

If the Scientific Vice-Rector’s Office finds or is notified of the existence of a conflict of interests or other violatoin, he will take the necessary measures to replace the assessor in question.

The initial score of the application will be calculated as the arithmetic mean of the scores awarded by the members of the Scientific Committee. Priority will be given to those applications that demonstrate the necessity and the appropriateness of the funding from the viewpoint of the scientific results to be obtained (publications, applications for research grants, successful development of research projects already funded), according to the assessment grid.

The managers of the projects eligible for funding will be invited to support their application before the Scientific Working Committee. If the interview is inconclusive, the application is disqualified.

The interview will be scored with scores from 0-100, and the final score will represent the arithmetic mean between the initial assessment score and the interview score.

- 8.5. The applicants are informed of the outcome of the assessment process and will each receive an answer to their *Funding Applications*.


Art. 9. Funding

The list of applications accepted for funding is established taking into account the number of applications submitted, the scores obtained and the university’s ability to award those grants. The applications with scores below 80 points will not be funded.

Art. 10. MAIN OBLIGATIONS OF THE PARTIES

“Grigore T. Popa” University of Medicine and Pharmacy of Iași (UMF Iași)

1. Provides the funds under the law, assesses the scientific results obtained and makes recommendations to the applicant, where appropriate.

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Applicant

1. Drafts a scientific report within the first 3 months of the beginning of the activities for which funding was granted, according to the requirements of the fund provider, and submits it to the UMF Iași Registrar's Office.
2. Before the end of the funding period, he/she will prove the publication of a scientific paper at the end of which the source of funding must be explicitly mentioned, i.e. the Necessity Grant in question. Failure to comply with the contractual terms for meeting the minimum performance criterion at the end of the funding period will lead to the refund of the money to UMF Iași.
3. Strictly observes the intellectual property and ethics rules applying to scientific research.

Section III. FINAL PROVISIONS


Art. 11

The approval of the Regulation concerning the award of necessity grants is given by the Senate of “Grigore T. Popa” U.M.Ph. of Iași, by simple majority of open votes.

Art. 12

This Regulation may be amended by the Senate of “Grigore T. Popa” U.M.Ph. of Iași, by simple majority of open votes.

Approved by HS no. 19 on 26th of September 2019

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Appendix 1

NECESSITY GRANT assessment checklist
(scores rank on a scale from 1 to 10)

1. There are ongoing studies carried out by the applicant / team, on the topic of the application.

1	2	3	4	5	6	7	8	9	10

2. The applicant (team) has expertise in that field.

1	2	3	4	5	6	7	8	9	10

3. Assessment of the chance of being a successful study (the extent to which the research can have a concrete purpose).

1	2	3	4	5	6	7	8	9	10

4. Assessment of the degree of necessity of the proposed purchase (the extent to which the ongoing study cannot be continued without that purchase).

1	2	3	4	5	6	7	8	9	10

5. Assessment of the appropriateness/urgent nature of the proposed purchase.


1	2	3	4	5	6	7	8	9	10

6. Assessment of the usefulness of the proposed purchase.

1	2	3	4	5	6	7	8	9	10

7. Assessment of the extent to which the proposed purchase may have a concrete purpose (study publication/application for national/international competition).

1	2	3	4	5	6	7	8	9	10

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8. Assessment of the feasibility and credibility of the application.

1	2	3	4	5	6	7	8	9	10

9. Assessment of the manner in which the application was presented/supported.


1	2	3	4	5	6	7	8	9	10

10. Whether the ethical requirements of the proposed research were taken into consideration.

1	2	3	4	5	6	7	8	9	10

The score will be calculated as follows:

(Crt. score 1+2+3+4+5+6+8)x1p. + crt. score 7x2p. + (crt. score 9+10)x0.5p. = Score awarded by the committee member

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Appendix 2

AFFIDAVIT

I,, in my capacity of manager of the draft project entitled “.....”, which entered the competition for **Necessity Grants 2019**, hereby solemnly declare, under my own responsibility, that:

- ✓ the proposed research topic has not been the object of another funding from in-house UMF Iași grants;

Date,

Project Manager,